



The 39th International Symposium  
on Lattice Field theory  
(Lattice 2022)  
Bonn, Germany,  
from August 8 to August 13

RUHR  
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# Finite volume NN system using plane wave expansion and eigenvector continuation

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11 August, 2022

Based on paper in preparation  
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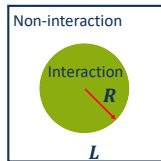
# Lüscher's formula and beyond

- Lüscher's formula (LF)

Luscher:1990ux

⇒ To include partial wave (PW) mixture effect:  $\det[M_{l,l'}^\Gamma - K^{-1}] = 0$ ,  
~~one-to-one~~, parameterize  $T$ -matrix, root-finding algorithm

⇒  $L \gg R$ , negligible  $e^{-L/R}$  effect



- Long-range interaction: e.g.  $1-\pi$  exchange for NN and  $\bar{D}^*D/\bar{D}D^*[X(3872)]$  Sato:2007ms,Jansen:2015lha

⇒ Non-relativistic approx. is good for NN force (No cross symmetry, no t-cut)

Raposo's talk

- (1)Plane wave (PLW) expansion +(2) Chiral effective field theory (EFT):

Meng:2021uhz

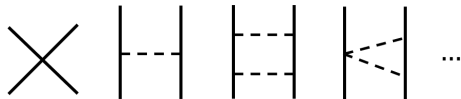
⇒ Including partial mixing conveniently, works well for small box and long-rang interaction

- Make the approach practical

⇒ (3)Eigenvector continuation

⇒ Compare it with Lüscher's formula

⇒ Fit NPLQCD results at  $m_\pi = 0.45$  GeV



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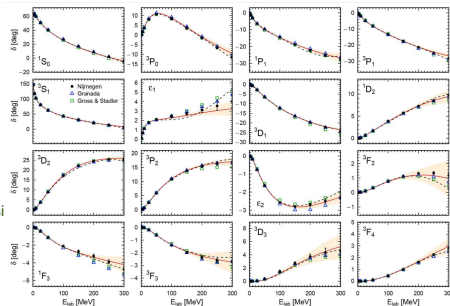
# Theoretical formalism

$$V(\vec{p}', \vec{p}) = V_{\text{contact}} + V_{1\pi} + V_{2\pi}$$

- Derived in the momentum space
- $E$ -independent potential
- Semilocal momentum-space regularization Reinert:2017usi

$$V_{1\pi}(\vec{p}', \vec{p}) = -\frac{g_A^2}{4F_\pi^2} \left( \frac{\vec{\sigma}_1 \cdot \vec{q} \vec{\sigma}_2 \cdot \vec{q}}{q^2 + m_\pi^2} + C(m_\pi) \vec{\sigma}_1 \cdot \vec{\sigma}_2 \right) e^{-\frac{q^2 + m_\pi^2}{\Lambda^2}}$$

- Benefit from the known long-range interaction one-pion-exchange (OPE)
- Low energy constants (LECs) for short-range interaction (contact interaction)
  - ⇒ fitting lattice QCD data



## Hamiltonian approach in Plane wave basis: $|\mathbf{p}_n, \boldsymbol{\eta}\rangle$

- $|\mathbf{p}_n, \boldsymbol{\eta}\rangle$ :  $\mathbf{p}_n$  discrete momentum,  $\boldsymbol{\eta}$ : polarization vector for  $S = 1$

$$\hat{D}(g)|\mathbf{p}, \boldsymbol{\eta}\rangle = |g\mathbf{p}, g\boldsymbol{\eta}\rangle, \hat{P}|\mathbf{p}, \boldsymbol{\eta}\rangle = |-\mathbf{p}, \boldsymbol{\eta}\rangle$$

$$\langle \mathbf{p}_{n'}, \boldsymbol{\eta}'^\dagger | \hat{D}(g) | \mathbf{p}_n, \boldsymbol{\eta} \rangle = \delta_{n'n} (\boldsymbol{\eta}'^\dagger \cdot g\boldsymbol{\eta})$$

- $\{|\mathbf{p}_n, \boldsymbol{\eta}\rangle\}$  form the representation space of corresponding point group
- Finite volume energy levels: eigenvector problem

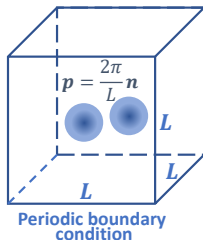
$$\det(\mathbb{H} - E\mathbb{I}) = 0 \quad \text{or} \quad \mathbb{H}\mathbf{v} = E\mathbf{v} \quad (1)$$

- Lüscher formula is the quantization condition (QC) in partial wave basis

$$\det[M_{l,l'}^\Gamma - K^{-1}] = 0$$

- We now get the QC in plane wave (PLW) expansion

$\Rightarrow$  eigenvector problem is easier to be solved than a general root-finding problem



- **Seven patterns** of representation space  $\{n_1, n_2, n_3\}_{dim}$

$$\Rightarrow \{0, 0, 0\}_{1 \times 3}, \{0, 0, a\}_{6 \times 3}, \{0, a, a\}_{12 \times 3}, \{0, a, b\}_{24 \times 3} \dots$$

- Reduce to irreducible representations (irreps): projection operator

e.g. textbook by M.Dresselhaus et.al

$$\hat{P}_{\alpha\beta}^{\Gamma_a} \equiv \sum_{g_i \in G} \frac{N(\Gamma_a)}{n_G} R_{\alpha\beta}^{\Gamma_a}(g_i)^* \hat{D}(g_i), \quad \hat{P}_{\alpha\alpha'}^{\Gamma_a} |\psi\rangle = a_{\alpha'}^{\Gamma_a} |\Gamma_a, \alpha\rangle.$$

- An example:  $\{0, 0, a\}_{6 \times 3} = 2T_1^+ \oplus T_2^+ \oplus A_1^- \oplus E_1^- \oplus T_1^- \oplus T_2^-$

$$\mathbb{H} \xrightarrow{\text{reduction}} \text{diag}\{\mathbb{H}_{\Gamma_i}, \mathbb{H}_{\Gamma_j}, \dots\} \Rightarrow \mathbb{H}_{\Gamma} \mathbf{v} = E_{\Gamma} \mathbf{v}$$

- dim of the  $\mathbb{H}_{\Gamma}$  : cubic function of  $L^{-1}$

$$\text{dim} \sim \left( \frac{\Lambda_{UV}}{2\pi/L} \right)^3 \times \frac{1}{12} \sim \mathcal{O}(1000)$$

# Towards a practical approach: eigenvector continuation

- Plane wave basis+Eigenvector continuation

W.Detmold'S talk: Gaussian basis+ improved stochastic variational method

⇒ Eigenvector continuation (EC) with subspace learning Frame:2017fah, Demol:2019yjt, Furnstahl:2020abp, Yapa:2022nrv

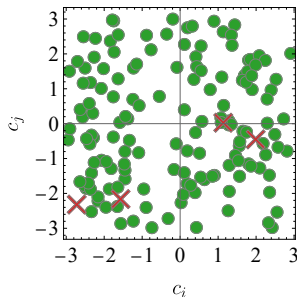
- Rayleigh-Ritz variational principle:

$$\mathcal{E}[\psi] = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}, \quad E_{ground} = \mathcal{E}_{min}$$

$$|\psi\rangle = a_m |\phi_m\rangle, \quad \langle \phi_m | H(c_i) | \phi_n \rangle a_n = \mathcal{E} \langle \phi_m | \phi_n \rangle a_n$$

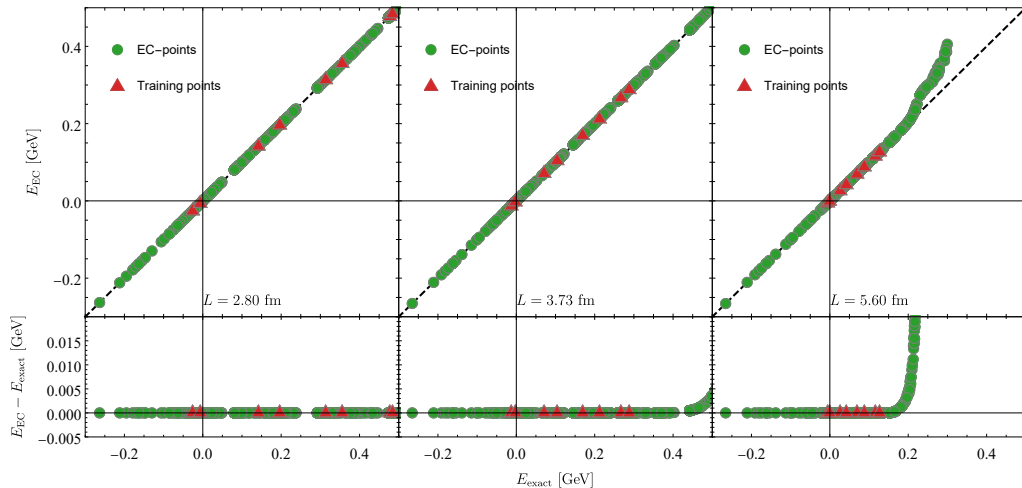
⇒ Generalized to excited state

- To fit or quantify uncertainty: solve above Eqs. with different  $\{c_i\}$  repeatedly
- EC basis: eigenvectors from a selection of parameter sets  $\{c_i\}^1, \{c_i\}^2, \dots$  (training point)
- Naturalness of low energy constants (LEC) of EFT ( $\sim 1$ ) make the EC more reliable



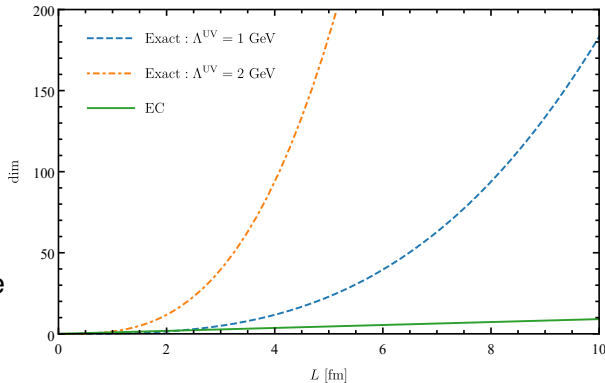
# Eigenvector continuation

- Interaction:  $V_{\text{contact}}$  with 2 LECs  $\{c_1, c_2\} + V_{1\pi}$  in  $L = \{2.70, 3.73, 5.60\}$  boxes
- Training points:  $\{c_1^{\text{phy}}, 0\}$ ,  $\{0, c_2^{\text{phy}}\}$ ; keep the first four energy levels as basis,  $\text{dim}=8$



$$\dim^{EC} = \frac{2\pi p}{L} \times n_{\text{training}}$$

- dim is linear function  $\frac{1}{L}$ : linear VS cubic
- $\dim^{EC} \sim \mathcal{O}(10)$
- The subspace learning is the one-time cost



- After subspace learning, we can provide the  $\mathbb{H}_0^{EC}$  and  $\mathbb{V}_i^{EC}$  to the lattice community

$$\mathbb{H}^{EC} = \mathbb{H}_0^{EC} + c_i \mathbb{V}_i^{EC}, \quad \mathbb{H}^{EC} \mathbf{v} = E \mathbf{v}$$

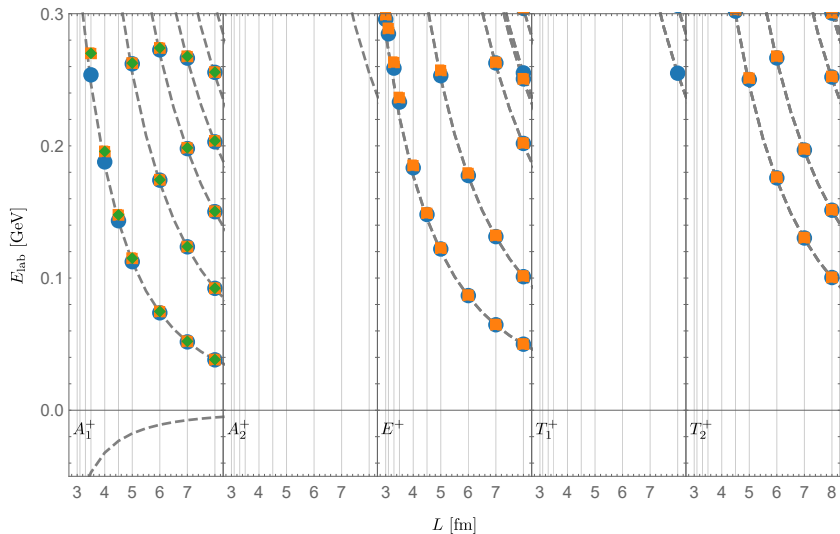
⇒ Easy-to-use interface: no need to know the details of  $\chi$ EFT

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# Scattering states: Lüscher formula VS PLW

# Scattering state: $S = 0$ , $d = (0, 0, 0)$ , even-parity

●  $J_{\max} = 4$     ■  $J_{\max} = 2$     ◆  $J_{\max} = 0$     - - - Plane wave



$L = \{3.0, 3.1, 3.3, 3.5, 4.0, 4.5, 5.0, 6.0, 7.0, 8.0\}$  fm

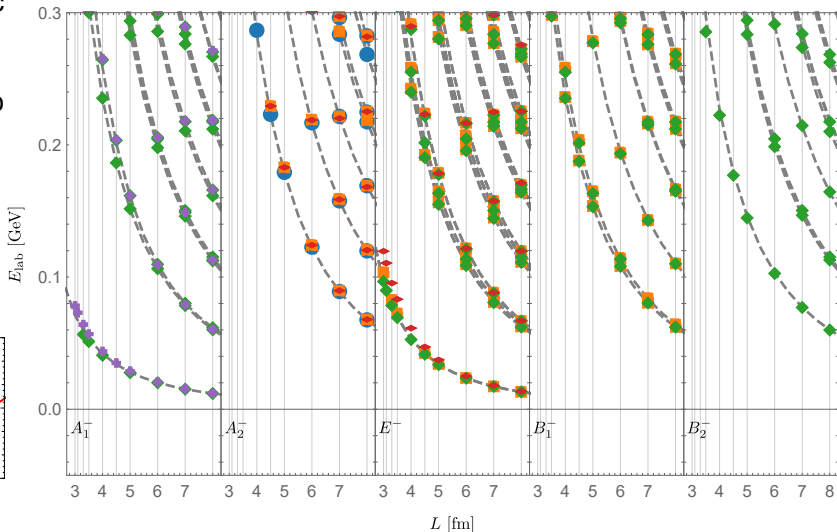
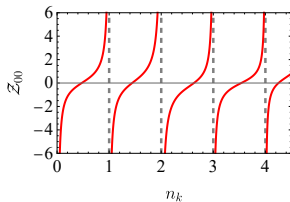
- PLW: with NNLO  $\chi$ EFT
- Lüscher QC:
  - $\Rightarrow$  Generate the phase shift ( $\delta$ ) to  $J = 5$
  - $\det[M_{l,l'}^\Gamma - K^{-1}(\delta)] = 0$
  - $\Rightarrow \delta$  as input, truncated at different  $J_{\max}$ ,
  - $\Rightarrow$  root-finding:

Woss:2020cmp,HSC

# Scattering state: $S = 1, d = (0, 0, 1)$ , odd-parity

●  $J_{\max} = 4$ 
■  $J_{\max} = 3$ 
◆  $J_{\max} = 2$ 
◆  $J_{\max} = 1$ 
+  $J_{\max} = 0$ 
 Plane wave

- The PLW works: static and moving systems
- The QC converge to PLW results
- The discrepancy:
  - ⇒ small box
  - ⇒ low  $J_{\max}$  QC



- The small differences in  $E^{FV}$  energy level could be large difference  $\delta$

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# Bound states: Lüscher formula VS PLW

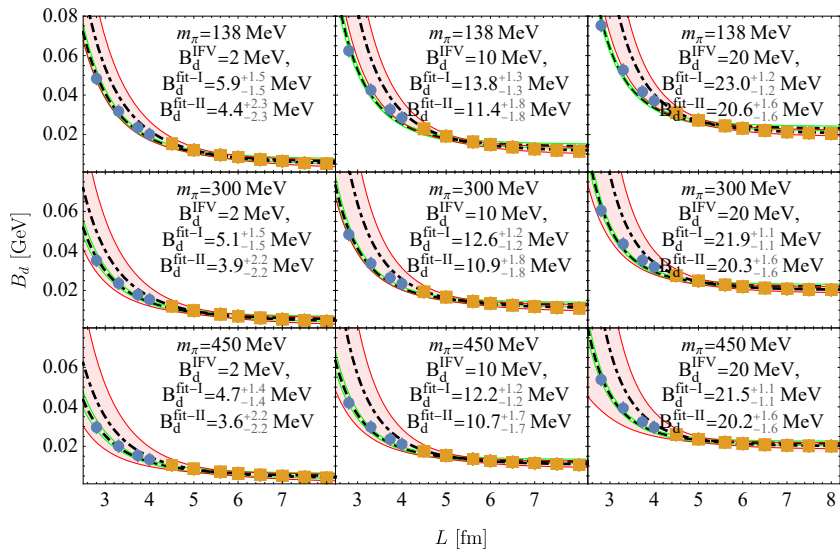
- Bound state Lüscher formula

Luscher:1985dn,Koenig:2011xdn,Davoudi:2011md,Briceno:2014oea

$$\kappa = \kappa_0 + \frac{Z^2}{L} F(L, \kappa_0) + \mathcal{O}(e^{-2\kappa L}) \quad (2)$$

- ⇒  $\kappa$ : Binding momentum,  $\kappa_0$  in infinite volume
- ⇒ For  $\mathbf{d} = (0, 0, 0)$ ,  $F(L, \kappa) = 6e^{-\kappa L} + 6\sqrt{2}e^{-\sqrt{2}\kappa L} + \frac{8}{\sqrt{3}}e^{-\sqrt{3}\kappa L}$
- ⇒ Expand the Lüscher formula for scattering states (analytical continuation) at the  $\kappa_0$
- Leading order  $\chi$ EFT interaction:  $V_{\text{contact}} + V_{1\pi}$ 
  - ⇒  $m_\pi = 138, 300, 450\text{MeV}$ , tuning the  $V_{\text{contact}}$  to permit bound states  $B_d = 2, 10, 20\text{ MeV}$
- Generate FV energy levels from PLW approach,
  - ⇒ Box size: 2.80, 3.3, 3.73, 4.0, 4.5, 5.0, 5.60, 6.0, 6.5, 7.0, 7.5, 8.0 fm
  - ⇒ assign constant uncertainties
- Extract the  $B_d^{IFV}(\kappa_0)$  by fitting energy levels with above exponential relations

# Bound state in the finite volume



Fit-I: All inputs; Fit-II: only orange points

- The best fitting does not depend on constant uncertainties of  $E^{FV}$
- The best fit of  $B_d^{fit}$ 
  - ⇒ biased
  - ⇒  $B_d^{fit} > B_d^{IFV}$
  - ⇒ Smaller  $m_\pi$ , larger bias
- Drop small box inputs decrease the bias
- The bias (small boxes) is the chance of PLW method

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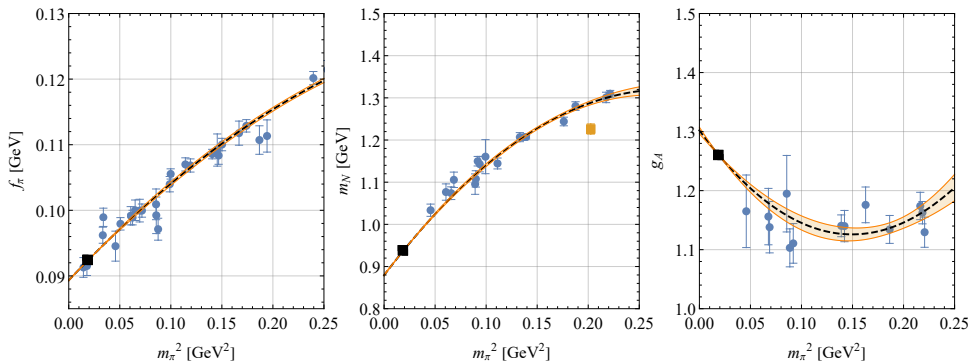
# Fitting the NPLQCD data

# Pion-mass dependence

- NPLQCD data:  $m_\pi = 450$  MeV
- For such a large pion mass, the validity of  $\chi$ EFT is questionable, a proof-of-principle
- Pion mass dependent of  $g_A$ ,  $f_\pi$ ,  $m_N$  from lattice QCD

Orginos:2015aya, Illa:2020nsi

Alexandrou:2013joa, Budapest-Marseille-Wuppertal:2013vij



- NPLQCD data

Orginos:2015aya, Illa:2020nsi

- $\chi$ EFT to NLO

- Contact terms:

$$\Rightarrow C_i^{phy} \rightarrow C_i^{phy} \left(1 - a_i \frac{m^2}{m_{phy}^2}\right)$$

$\Rightarrow$  three  $a_i$  for  $S = 1$

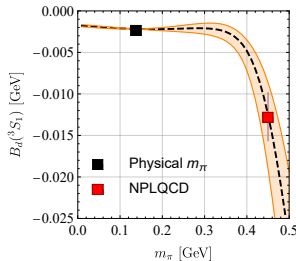
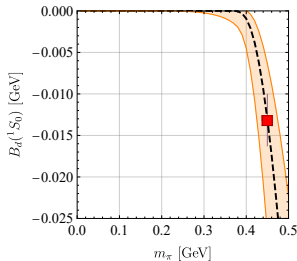
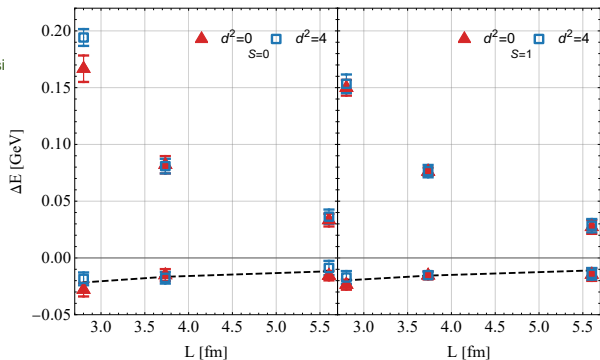
$\Rightarrow$  two  $a_i$  for  $S = 0$

- Inputs: ground states

$$L = \{2.801, 3.734, 5.602\} \text{ fm} \otimes d^2 = \{0, 4\}$$

- For  $S=1$ ,  $\chi^2/\text{d.o.f} = 0.87$

- For  $S=0$ ,  $\chi^2/\text{d.o.f} = 0.92$



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# Summary and Outlook

- A alternative approach of Luscher formula to investigate NN in the box
  - ⇒ Plane wave expansions: include the partial wave mixing effect
  - ⇒  $\chi$ EFT: benefit from the known long-range interaction  $V_{1\pi}$ , works well for small box
  - ⇒ Eigenvector continuation: accurate and fast, provide a interface
- Scattering states: high partial wave in QC is important, especially in small box
- Bound states: the exponential relations is biased in small box and small  $m_\pi$
- Fitting to NPLQCD at  $m_\pi = 450$  MeV
- Outlook
  - ⇒ The advantages would be more obvious for physical  $m_\pi$
  - ⇒ Refined analysis of pion mass dependence
  - ⇒ Used for  $D^*D (T_{cc})$  and  $D^*\bar{D} [X(3872)]$ interaction ... Related talks: S.Prelovsek;S.Aoki...

**Thanks!**

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# Back up

## Luscher formule

Davoudi:2011md

$$T^{-1} + iq = q \cot \delta(q) = \frac{2}{L\sqrt{\pi}} \mathcal{Z}_{00}(n_q^2) \quad (3)$$

Approx.1 Higher PW is neglected

bound states  $q = i\kappa$

$$T^{-1}(\kappa) - \kappa = \frac{2}{L\sqrt{\pi}} \mathcal{Z}_{00}(-n_\kappa^2) = \frac{1}{L} F(L, \kappa) - \kappa \quad (4)$$

$$T^{-1}(\kappa) = \frac{1}{L} F(L, \kappa) \quad (5)$$

$$F(L, \kappa) = \sum_{\mathbf{m} \neq \mathbf{0}} \frac{1}{|\mathbf{m}|} e^{-i2\pi\mathbf{m} \cdot \mathbf{d}} e^{-|\mathbf{m}|\kappa L}, \quad F \sim e^{-\kappa L} \quad (6)$$

$$T^{-1}(\kappa) = \frac{1}{L}F(L, \kappa) \quad (7)$$

$$T^{-1}(\kappa_0) = 0, \quad \kappa = \kappa_0 + \kappa_1 + \kappa_2 + \dots \quad (8)$$

$$T^{-1}(\kappa) = 0 + T^{-1'}(\kappa_0)(\kappa_1 + \kappa_2) + \dots = \frac{1}{L}F(L, \kappa_0) + \frac{1}{L}F'(L, \kappa_0)(\kappa_1) + \dots \quad (9)$$

Approx 2. Ignoring Left-hand cut

$$\frac{1}{L}F(L, \kappa_0) = T'^{-1}(\kappa_0)\kappa_1, \quad \kappa_1 \sim e^{-\kappa L} \quad (10)$$

$$\kappa = \kappa_0 + \frac{Z^2}{L}F(L, \kappa_0) + \mathcal{O}(e^{-2\kappa L}) \quad (11)$$

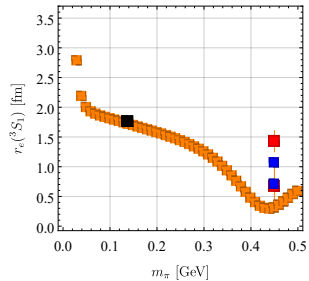
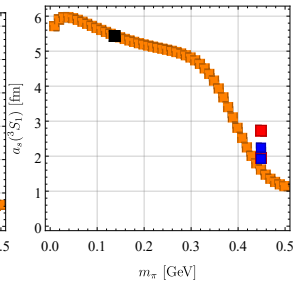
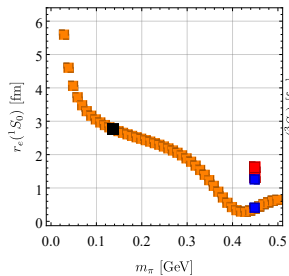
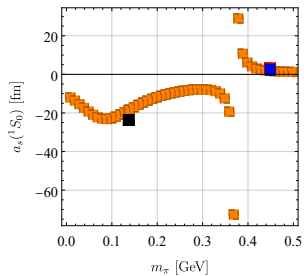
Approx 3. The perturbation: precise up to  $\mathcal{O}(e^{-2\kappa L})$

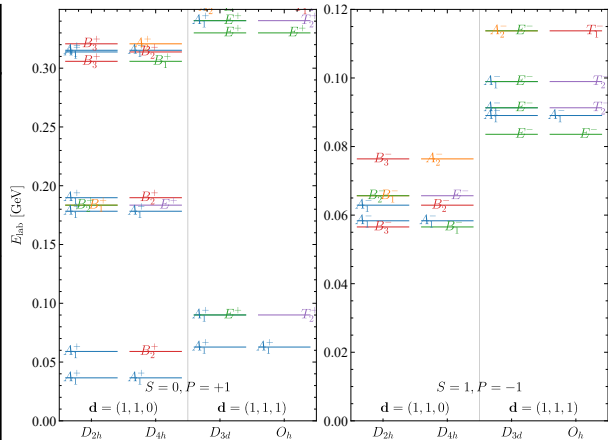
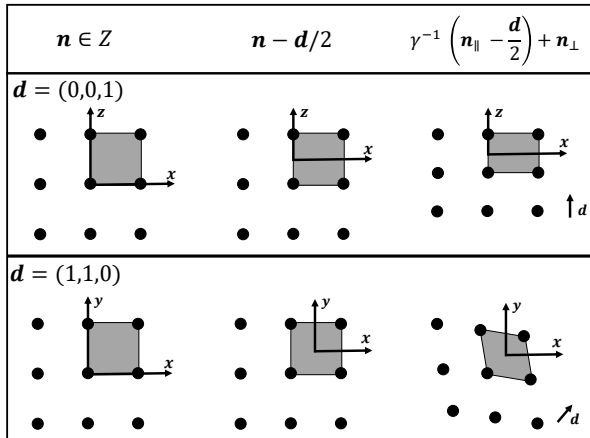
$$\kappa = \kappa_0 + \frac{Z^2}{L} F(L, \kappa_0) + \mathcal{O}(e^{-2\kappa L}) \quad (12)$$

$$\begin{aligned} \mathbf{d} = (0, 0, 0) : F(L, \kappa) &= 6e^{-\kappa L} + 6\sqrt{2}e^{-\sqrt{2}\kappa L} + \frac{8}{\sqrt{3}}e^{-\sqrt{3}\kappa L}, \\ \mathbf{d} = (0, 0, 1) : F(L, \kappa) &= 2e^{-\kappa L} - 2\sqrt{2}e^{-\sqrt{2}\kappa L} - \frac{8e^{-\sqrt{3}\kappa L}}{\sqrt{3}} \end{aligned} \quad (13)$$

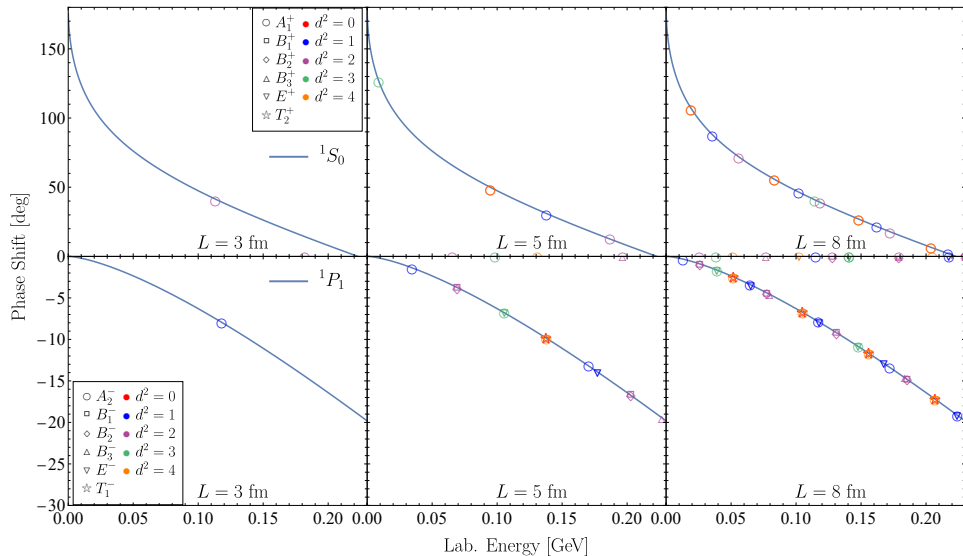
Approx 4. Truncation of the  $F(L, \kappa)$

# effective range parameter

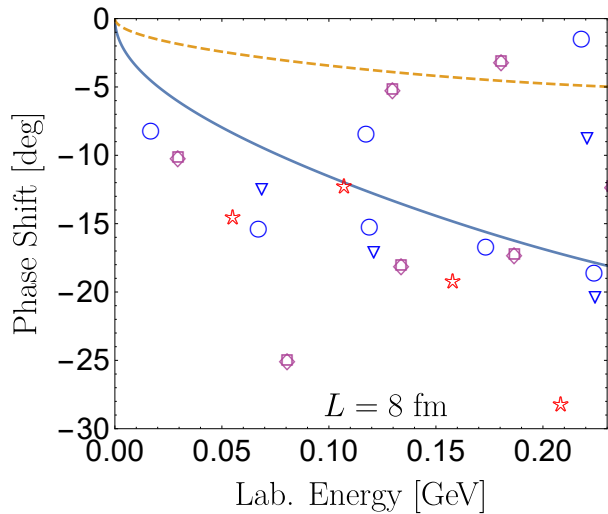




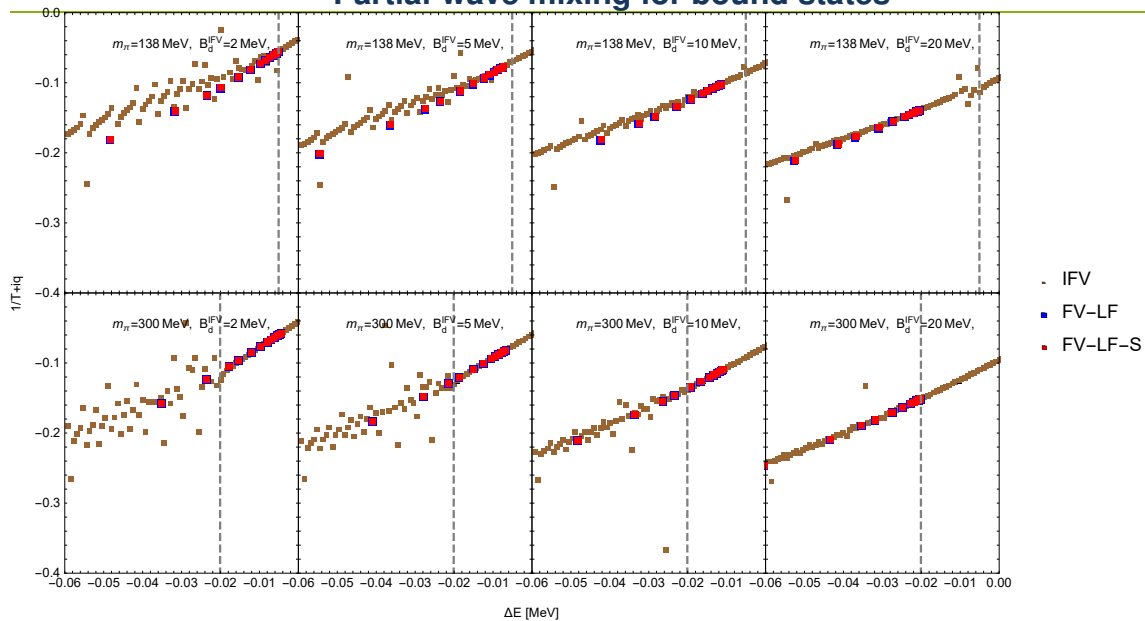
# Partial-wave-contact



# Partial-wave-P-wave



# Partial-wave mixing for bound states



# Partial-wave mixing for bound states

